

Control Environment Solutions For Financial Services Organisations

VALeDATA™ solutions enable Financial Services organizations to define, build and operate a Control Environment that evidences pan enterprise governance and top down control managed through automated dashboard and scorecards delivering clear accountability for all actions, issues and risks.

VALeDATA is a web-based Control Environment portal underpinned by a powerful validation engine that provides enterprise wide evidence of embedded governance and process automation. In addition document management, personalized dashboards, automated alerts, facilities to capture and track end-to-end information (e.g. risks, issues, progress, costs) on multiple initiatives and programmes deliver evidence of strong governance controls in a way that is meaningful to the business, stakeholders and regulators.

VALeDATA helps businesses plan, build and manage their Control Environment 'top-down' with interactive dynamic reporting and strong governance based on evidentially relevant, current and valid unique business rules. Clear accountability for all actions, issues and risks is defined by role throughout the enterprise. VALeDATA consumes and adds intelligence to existing static data currently stored in spreadsheets and disparate unconnected data warehouses. VALeDATA is the catalyst for refining valid governance processes which are then automatically validated, used, refined, revalidated and reused as part of a living workflow process that evolves with the needs of the business and regulatory demands.

Benefits within weeks...

Every organization has a foundation of existing internal controls and a bedrock of corporate data. Each region, division or business unit has typically developed its own unique processes and systems for internal controls. VISeBILITY is able to incorporate these to provide a consolidated single true view of the pan enterprise Control Environment based on data weighted for importance and then aggregated from multiple processes. With VISeBILITY, a holistic view of the Control Environment doesn't mean having to replace existing systems or processes.

Transform programme management and reporting methodologies into automated business processes

Track progress and provide early warning of Risks and failing performance

Organize portfolios from different business perspectives – structured and ad-hoc

Align virtual teams with rich web-based project collaboration

Track cost & benefit realisation of programmes, facilitate qualitative data capture and analysis with proactive reporting to all stakeholders.

Personal Dashboards

Enhance situation awareness, visibility and reach with:

- Personalised real-time views for each stakeholder's view of programmes, projects and connections relevant to their role
- Information and status indicators
- Alert indicators and email based alerts.

Control Initiative Delivery

- Clear accountability for all actions, issues and risks.
- Create project and programme workspaces with connections to the business and stakeholders
- Portfolio Roadmaps, Programme and Project summary reports and charts

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- Flexible segmentation of projects into programmes - project selection, grouping, filtering
- Integrate programme information into scorecards and dashboards

Control Environment Management Process

- Assign accountability to roles
- Connect projects and programmes with strategy and business units
- Workflow templates – configure workflow standards for phases, milestones, tasks and team management
- Milestone and Phase management – Gantt charts and colour coded status, milestone rollups, critical milestones, milestone condition alerts, dependency, project status rollups to programmes and business units
- Dynamic project prioritisation
- Manage and monitor progress, tasks, accountability, teams, process, collaboration, issues, drill down to milestones and reporting
- Flexible slice & dice and drill down analysis
- Integrate MS Project and Open Source components
- Integrate project progress and information into management scorecards and graphic views.

Scorecards

- Simple graphics or metric rich displays generated automatically as required
- Main Board, Steering Committee, Internal Audit, Work Stream Regional etc. Scorecards and templates to customize new Scorecards
- Online Scorecard reports with drill down evidence of performance
- Hardcopy PDF reports and exports to Microsoft Excel
- Traffic Light (RAG) rating assessment – system calculated and/or qualitative assessment by programme director
- Automatic incorporation of KPIs

Business Rules Configurator

- Configure business rules for assessing and aggregating Control Environment performance and alerts based on milestone status, risks, issues and actions
- Traffic Light (RAG) reporting controls and configurable status indicators

Milestone Dependency Management

- Visibility of all interdependencies
- Aggregated views and dependency reports by region, division, country, legal entity etc.
- Graphical view of critical dependencies
- Dependency status indicators - immediate identification of slipping milestones
- Auto-generated actions to 'need-to-know' community or management

Risk and Issue Management

- Raise, classify and report on risks, issues, assumptions and dependencies
- Manage and resolve issues in context as they occur and change
- Translates your Risk and Issue methodology.

Empowered Collaboration

- Integrate comments, threads, actions and documents into workspaces
- Accountability and role based management
- Flexible security management
- Quick access to personalised view of all relevant actions, issues, collaboration and documents across the system
- Document repository and Search with full text search on stored and cached content.
- Build and share lessons learned
- Audit trail on system changes and interactions.



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